



General Assembly

***Substitute Bill No. 6824***

*January Session, 2001*

***AN ACT CONCERNING THE FILING OF STATEMENTS OF FINANCIAL INTERESTS BY STATE MARSHALS.***

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1       Section 1. Section 1-83 of the general statutes is repealed and the  
2       following is substituted in lieu thereof:

3       (a) (1) All state-wide elected officers, members of the General  
4       Assembly, department heads and their deputies, members of the  
5       Gaming Policy Board, the executive director of the Division of Special  
6       Revenue within the Department of Revenue Services, members or  
7       directors of each quasi-public agency, members of the Investment  
8       Advisory Council, [state marshal] and such members of the Executive  
9       Department and such employees of quasi-public agencies as the  
10      Governor shall require, shall file, under penalty of false statement, a  
11      statement of financial interests for the preceding calendar year with the  
12      [commission] State Ethics Commission on or before the May first next  
13      in any year in which they hold such a position. Any such individual  
14      who leaves his or her office or position shall file a statement of  
15      financial interests covering that portion of the year during which such  
16      individual held his or her office or position. The commission shall  
17      notify such individuals of the requirements of this subsection within  
18      thirty days after their departure from such office or position. Such  
19      individuals shall file such statement within sixty days after receipt of  
20      the notification.

21      (2) Each state agency, department, board and commission shall

22 develop and implement, in cooperation with the State Ethics  
23 Commission, an ethics statement as it relates to the mission of the  
24 agency, department, board or commission. The executive head of each  
25 such agency, department, board or commission shall be directly  
26 responsible for the development and enforcement of such ethics  
27 statement and shall file a copy of such ethics statement with the  
28 Department of Administrative Services and the State Ethics  
29 Commission.

30 (b) [(1)] The statement of financial interests [, except as provided in  
31 subdivision (2) of this subsection,] shall include the following  
32 information for the preceding calendar year in regard to the individual  
33 required to file the statement and the individual's spouse and  
34 dependent children residing in the individual's household: [(A)] (1)  
35 The names of all businesses with which associated; [(B)] (2) the  
36 category or type of all sources of income in excess of one thousand  
37 dollars, without specifying amounts of income; [(C)] (3) the name of  
38 securities in excess of five thousand dollars at fair market value owned  
39 by such individual, spouse or dependent children or held in the name  
40 of a corporation, partnership or trust for the benefit of such individual,  
41 spouse or dependent children; [(D)] (4) the existence of any known  
42 blind trust and the names of the trustees; [(E)] (5) all real property and  
43 its location, whether owned by such individual, spouse or dependent  
44 children or held in the name of a corporation, partnership or trust for  
45 the benefit of such individual, spouse or dependent children; [(F)] (6)  
46 the names and addresses of creditors to whom the individual, the  
47 individual's spouse or dependent children, individually, owed debts of  
48 more than ten thousand dollars; and [(G)] (7) any leases or contracts  
49 with the state held or entered into by the individual or a business with  
50 which he was associated. [(2) The statement of financial interests filed  
51 by state marshals shall include only amounts and sources of income  
52 earned in their capacity as state marshals.]

53 (c) The statement of financial interests filed pursuant to this section  
54 shall be a matter of public information, except the list of names, filed in  
55 accordance with [subparagraph (F) of] subdivision [(1)] (6) of

56 subsection (b) of this section shall be sealed and confidential and for  
57 the use of the [commission] State Ethics Commission only after a  
58 complaint has been filed under section 1-82 and such complaint has  
59 been determined by a vote of the commission to be of sufficient merit  
60 and gravity to justify the unsealing of such list or lists and not open to  
61 public inspection unless the respondent requests otherwise. If the  
62 commission reports its findings to the Chief State's Attorney in  
63 accordance with subsection (c) of section 1-88, the commission shall  
64 turn over to the Chief State's Attorney such relevant information  
65 contained in the statement as may be germane to the specific violation  
66 or violations or a prosecutorial official may subpoena such statement  
67 in a criminal action. Unless otherwise a matter of public record, the  
68 [Ethics Commission] commission shall not disclose to the public any  
69 such subpoena which would be exempt from disclosure by the issuing  
70 agency.

71 (d) Any individual who is unable to provide information required  
72 under the provisions of [subdivision (1) of] subsection (b) of this  
73 section by reason of impossibility may petition the commission for a  
74 waiver of the requirements.

75 Sec. 2. Subsection (e) of section 1-88 of the general statutes is  
76 repealed and the following is substituted in lieu thereof:

77 (e) Any employee or member of the commission who, in violation of  
78 this part, discloses information filed in accordance with [subparagraph  
79 (B) or subparagraph (F) of subdivision (1)] subdivision (2) or (6) of  
80 subsection (b) of section 1-83, as amended by this act, shall be  
81 dismissed, if an employee, or removed from the commission, if a  
82 member.

83 Sec. 3. This act shall take effect from its passage.

**GAE**      **JOINT FAVORABLE SUBST. C/R**

**JUD**